

Attorney Docket No.: 4704P020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

STEVEN LURIE

Application No.: 10/021,877

Filed: December 13, 2001

For: **AN APPARATUS AND METHOD FOR ONLINE
ADVICE CUSTOMER RELATIONSHIP
MANAGEMENT**



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Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

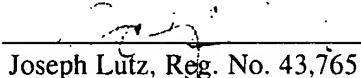
In response to the Notice to File Missing Parts of Application mailed January 18, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

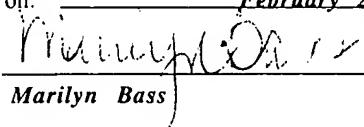
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN


Joseph Lutz, Reg. No. 43,765

Dated: February 26, 2002

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

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Marilyn Bass

02/26/02

Date

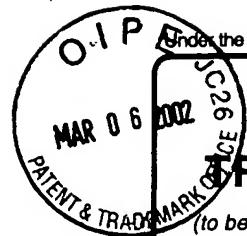
03/04-002

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PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/021,877
		Filing Date	December 13, 2001
		First Named Inventor	Steven Lurie
		Group Art Unit	Unknown
		Examiner Name	Unknown
Total Number of Pages in This Submission	9	Attorney Docket Number	4704P020

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Missing Parts includes Response to Notice to File Missing Parts (1 pg), signed Decl/POA (4 pg) and Notice to File Missing Parts (1 pg)		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph Lutz, Reg. No. 43,765 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	February 26, 2002

CERTIFICATE OF MAILING (OR TRANSMISSION)

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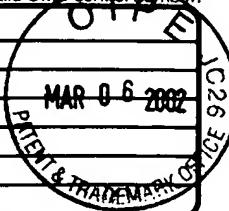
February 26, 2002

Typed or printed name	Marilyn Bass	Date	02/26/02
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete If Known	
		Application Number	10/021,877
		Filing Date	12/13/01
		First Named Inventor	Steven Lurie
		Examiner Name	Unknown
		Group Art Unit	Unknown
TOTAL AMOUNT OF PAYMENT (\$)		130.00	Attorney Docket Number



METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEE																																																																																																																																																	
<input type="checkbox"/> Deposit Account Deposit Account Number 02-2666 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman		<table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920</td><td>112</td><td>920 Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840</td><td>113</td><td>1,840 Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for response within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for response within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for response within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for response within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for response within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidably</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive - unintentionally</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr> <td colspan="2">Multiple Dependent Claims</td> <td colspan="2">Other fee (specify) _____</td> </tr> <tr> <td colspan="2"> Large Entity Fee Code (\$) Small Entity Fee Code (\$) </td> <td colspan="2"> Extra Fee from below X Fee Paid </td> </tr> <tr> <td>Total Claims Independent Claims</td> <td>34 - 5 -</td> <td>= X = </td> <td>= </td> </tr> <tr> <td colspan="4"> Multiple Dependent Claims Large Entity Fee Code (\$) Small Entity Fee Code (\$) </td> </tr> <tr> <td colspan="4"> 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple Dependent claim 109 84 209 42 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent </td> </tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td colspan="2">SUBTOTAL (3) (\$) 130.00</td> </tr> <tr> <td colspan="4"> <small>* or number previously paid, if greater. For Reissues, see above</small> </td> </tr> </tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	139	130	139	130 Non-English specification	147	2,520	147	2,520 For filing a request for ex parte reexamination	112	920	112	920 Requesting publication of SIR prior to Examiner action	113	1,840	113	1,840 Requesting publication of SIR after Examiner action	115	110	215	55 Extension for response within first month	116	400	216	200 Extension for response within second month	117	920	217	460 Extension for response within third month	118	1,440	218	720 Extension for response within fourth month	128	1,960	228	980 Extension for response within fifth month	119	320	219	160 Notice of Appeal	120	320	220	160 Filing a brief in support of an appeal	121	280	221	140 Request for oral hearing	138	1,510	138	1,510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidably	141	1,280	241	640 Petition to revive - unintentionally	142	1,280	242	640 Utility issue fee (or reissue)	143	460	243	230 Design issue fee	144	620	244	310 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	180	126	180 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))	149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))	179	740	279	370 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Multiple Dependent Claims		Other fee (specify) _____		Large Entity Fee Code (\$) Small Entity Fee Code (\$)		Extra Fee from below X Fee Paid		Total Claims Independent Claims	34 - 5 -	= X = 	= 	Multiple Dependent Claims Large Entity Fee Code (\$) Small Entity Fee Code (\$)				103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple Dependent claim 109 84 209 42 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent				SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 130.00		<small>* or number previously paid, if greater. 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SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	Joseph Lutz, Reg. No. 43,765	Registration No. (Attorney/Agent)		Telephone (310) 207-3800
Signature			Date	02/26/02

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025 4704P020
10/021,877	12/13/2001	Steven Lurie	US ANGELES

08791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025



CONFIRMATION NO. 2729
FORMALITIES LETTER

OC00000007334768

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.**

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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